

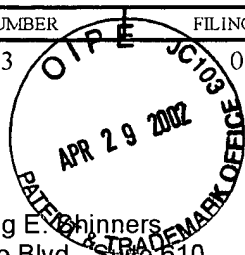


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/057,483	01/25/2002	Edward-Bruce Baker	55-101.1

Craig E. Shinnors  
Law Office of Craig E. Shinnors  
301 East Colorado Blvd., Suite 610  
Pasadena, CA 91101



CONFIRMATION NO. 3572

## FORMALITIES LETTER



\*OC000000007531563\*

Date Mailed: 02/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/01/2002 YPOLITE1 00000030 10057483

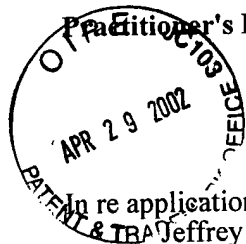
01 FC:205

65.00 OP

#3

PATENT

Patitioner's Docket No. 55-101.1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Thornton, Curtis L.; Baker, Edward-Bruce; Forster, Michael K.; and Vrachan, Jeffrey L.  
Application No.: 10/057,483  
Filed: January 25, 2002  
For: PERSONAL SIMULATOR

Group No.: 3713  
Examiner: Not assigned

Box Missing Part  
Assistant Commissioner for Patents  
Washington, D.C. 20231

COMPLETION OF FILING REQUIREMENTS  
-- NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed February 25, 2002.

A copy of the Notice to File Missing Parts of Application--Filing Date Granted (Form PTO-1533) is enclosed.

DECLARATION OR OATH

II. An executed declaration or oath was not filed. Enclosed is the original executed declaration for this application.

Attached is a Statement that the "attached" specification is a copy of the specification and any amendments thereto that were filed in the PTO to obtain the filing date.

SMALL ENTITY STATUS

III. A statement that this filing is by a small entity was filed on January 25, 2002 (true copy).

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that this correspondence is, on the date shown below, being:

MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office at (703) \_\_\_\_ - \_\_\_\_.

Date: April 24, 2002

Carol L. Hairston  
Signature

Carol L. Hairston  
(type or print name of person certifying)

## **POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST**

**IV.** A Power of Attorney by Assignee of Entire Interest and a Statement Under 37 C.F.R. §3.73(b) Establishing Right of Assignee To Take Action are also enclosed.

### **COMPLETION FEES**

**V.**

**1. Surcharge Fees**

Late payment of filing fee and/or late filing of original declaration or oath (37 C.F.R. Section 1.16(e))	\$65.00
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**2. Assignment (See "ASSIGNMENT  
COVER SHEET")**

\$40.00

<b>Total Completion Fees</b>	<b>\$105.00</b>
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### **EXTENSION OF TIME**

**VI.** The proceedings herein are for a patent application, and the provisions of 37 C.F.R. Section 1.136(a) apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

### **TOTAL FEE DUE**

**VII.** The total fee due is:

Completion fees	\$105.00
Extension fee (if any)	\$0.00
<b>Total Fee Due</b>	<b>\$105.00</b>

### **PAYMENT OF FEES**

**VIII.** Enclosed is a check in the amount of 105.00.

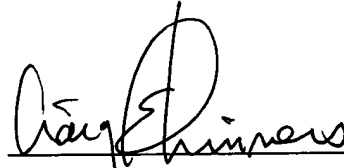
Please charge Account No. 192050 for any fees that may be due by this paper.

### **AUTHORIZATION TO CHARGE ADDITIONAL FEES**

**IX.** The Commissioner is hereby authorized to charge the following additional fees that may be required by this paper and during the pendency of this application to Account No. 192050.

37 C.F.R. Section 1.16(a), (f) or (g) (filing fees)  
37 C.F.R. Section 1.16(b), (c) and (d) (presentation of extra claims)  
37 C.F.R. Section 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later  
than the filing date of the application)  
37 C.F.R. Section 1.17(a)(1)-(5) (extension fees pursuant to Section 1.136(a))  
37 C.F.R. Section 1.17 (application processing fees)

Date: April 23, 2002

A handwritten signature in black ink, appearing to read "Craig E. Shinnors", written over a horizontal line.

Craig E. Shinnors  
Registration No. 33,281  
Law Office of Craig E. Shinnors  
301 East Colorado Blvd., Suite 610  
Pasadena, CA 91101  
United States  
626-584-9195

Docket No. ~~43-103~~ 55-101.1

PATENT

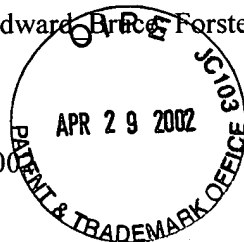
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Baker, Edward Bruce; Forster, Michael K.; Vrachan, Jeffrey L.; and Thornton, Curtis L

Application No.: 10/057,483

Filed: January 25, 2002

For: PERSONAL SIMULATOR



Group No.: Not yet assigned

Examiner: Not yet assigned

Assistant Commissioner for Patents  
Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. § 3.73(b)  
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is **mandatory**;  
Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. 1.8(a)

37 C.F.R. 1.10\*

- ☒ with sufficient postage as first class mail. ☐ as "Express Mail Post Office to Addressee"  
Mailing Label No. \_\_\_\_\_ (mandatory)

TRANSMISSION

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Carol L. Hairston  
Signature

Date: 4.24.02

Carol L. Hairston  
(type or print name of person certifying)

**\*WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).  
"Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

### IDENTIFICATION OF ASSIGNEE

2. Name of assignee: Provision Entertainment, Inc.  
Type of assignee: Corporation

### PERSON AUTHORIZED TO SIGN

3. Name of person authorized to sign on behalf of assignee: Curtis Thornton  
Title of person authorized to sign: President and CEO

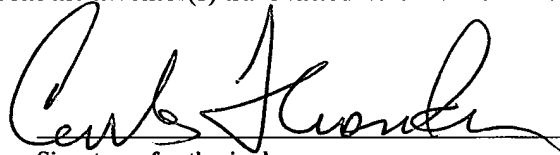
I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

### BASIS OF ASSIGNEE'S INTEREST

4. Ownership by the assignee is established as follows:
- A.
1. An assignment from the inventor(s) of the matter identified above, which is transmitted herewith.

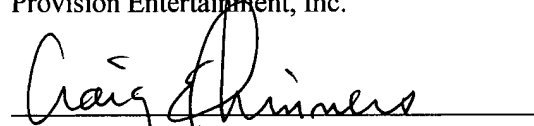
### COPIES OF DOCUMENTS IN CHAIN OF TITLE

5. Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:  
Original assignment from the inventor(s) transmitted herewith for recordal.



Signature of authorized person

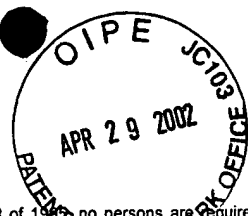
Curtis Thornton,  
President and CEO  
Provision Entertainment, Inc.



SIGNATURE OF PRACTITIONER

Reg. No. 33281  
Tel. No.: (626) 584-9195

Craig E. Shinnors  
Law Office of Craig E. Shinnors  
301 East Colorado Blvd., Suite 601  
Pasadena, CA 91101



#3

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (12/99)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$)

## Complete if Known

Application Number	10/057,483
Filing Date	January 25, 2002
First Named Inventor	Curtis L. Thornton
Examiner Name	Not assigned
Group / Art Unit	3713
Attorney Docket No.	55-101.1

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20	-20** = 0	9	0
3	-3** = 0	40	0
Multiple Dependent			0

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	0.00
139 130	139 130	Non-English specification	0.00
147 2,520	147 2,520	For filing a request for reexamination	0.00
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00
115 110	215 55	Extension for reply within first month	0.00
116 380	216 190	Extension for reply within second month	0.00
117 870	217 435	Extension for reply within third month	0.00
118 1,360	218 680	Extension for reply within fourth month	0.00
128 1,850	228 925	Extension for reply within fifth month	0.00
119 300	219 150	Notice of Appeal	0.00
120 300	220 150	Filing a brief in support of an appeal	0.00
121 260	221 130	Request for oral hearing	0.00
138 1,510	138 1,510	Petition to institute a public use proceeding	0.00
140 110	240 55	Petition to revive - unavoidable	0.00
141 1,210	241 605	Petition to revive - unintentional	0.00
142 1,210	242 605	Utility issue fee (or reissue)	0.00
143 430	243 215	Design issue fee	0.00
144 580	244 290	Plant issue fee	0.00
122 130	122 130	Petitions to the Commissioner	0.00
123 50	123 50	Petitions related to provisional applications	0.00
126 240	126 240	Submission of Information Disclosure Stmt	0.00
581 40	581 40	Recording each patent assignment per property (times number of properties)	0.00
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify) Assignment recordal			40.00
Other fee (specify)			0.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 105.00)

## SUBMITTED BY

Name (Print/Type)	Craig E. Shimmers	Registration No. (Attorney/Agent)	33,281	Telephone	626-584-9195
Signature	Craig E. Shimmers	Date	April 23, 2002		

## WARNING

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.